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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/802,115	03/13/2004	Heinz F. Thummel	LDI006

Law Offices of Patrick T. King  
 144 Holm Road #39  
 Watsonville, CA 95076-2427

CONFIRMATION NO. 3273

## FORMALITIES LETTER



\*OC000000012860277\*

Date Mailed: 06/03/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

8/3/04

FILED UNDER 37 CFR 1.53(b)

08/12/2004 MAHMED1 00000040 110979 10802115

02 FC:2001 385.00 OP  
 03 FC:2051 65.00 OP  
 04 FC:2202 40.00 DA 104.00 OP

## Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 385 to complete the basic filing fee for a small entity.*
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:
  - The drawings must be reasonably free from erasures and must be free from alterations, overwriting, interlineations, folds, and copy marks. See Figure(s) 3,6C.
  - More than one figure is present and each figure is not labeled "Fig." with a consecutive Arabic numeral (1, 2, etc.) or an Arabic numeral and capital letter in the English alphabet (A, B, etc.)(see 37 CFR 1.84(u)(1)). See Figure(s) 8B is described in drawing description of specification but Fig 8B is not submitted, however Fig 9B is submitted.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of \$144 as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

**SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$594 for a Small Entity

- \$385 Statutory basic filing fee.
- \$65 Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is \$144
  - \$144 for 16 total claims over 20.

**Items Required To Avoid Processing Delays:**

The item(s) indicated below are also required and should be submitted with any reply to this notice to avoid further processing delays.

- A new oath or declaration, identifying this application number is required. The oath or declaration does not comply with 37 CFR 1.63 in that it:
- does not identify the residence (e.g., city and either state or foreign country) of each inventor.
- does not identify the complete mailing or post office address of each inventor.

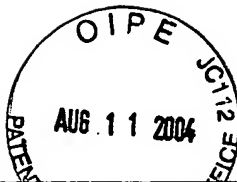
Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

*A copy of this notice MUST be returned with the reply.*

M. NAIK  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART I - ~~ATTORNEY/APPLICANT COPY~~

Copy to be Returned



Patent

**Transmittal of Missing Parts**

I hereby certify that this transmittal of the below described document is being deposited with the United States Postal Service in an envelope bearing First Class Postage and addressed to Mail Stop: Missing Parts, Commissioner for Patents, P O Box 1450, Alexandria, VA 22313-1450, on the below date of deposit.			
Date of Deposit:	8/03/04	Name of Person Making the Deposit:	Patrick T. King
		Signature of the Person Making the Deposit:	<i>Patrick T. King</i>

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**Docket No.: LDI006  
CUSTOMER NO. 25201

Inventor(s): Thummel, Heinz F.

Serial No.: 10/802,115

Group Art Unit: 2877

Filed: 3/13/2004

Examiner: Unassigned

Title: DUAL BEAM LASER MODULE

MAIL STOP: Missing Parts  
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Sir:

Transmittal of Missing Parts  
(Under 37 CFR §1.53)

In response to the Notice of Missing Parts mailed JUNE 3, 2004 enclosed are the following documents:

- ☒ A copy of the Notice to File Missing Parts (PTO-1533).
- ☒ Declaration and Power of Attorney executed on April 2, 2004
- ☒ No original document was filed, and enclosed is the original Declaration for this application. The original document has been determined to be defective. A substitute original document is enclosed.
- ☒ Assignment to: Laser Devices, Inc Executed on: April 2, 2004  
by Heinz F. Thummel
- ☒ Assignment Recordation Cover Sheet (1 sheet)
- ☒ Other: **AN ASSERTION IS HEREBY MADE TO ENTITLEMENT TO SMALL ENTITY STATUS**  
Petition for extension of time for a month extension. (A separate petition sheet is attached.)

Fee Calculation (small entity)		
Assignment Recordation Fee, per property	\$ 40.00	
Basic Filing Fee	\$ 385.00	\$385.00
Additional Claims Fee, if any (Over 20) 16 X	\$ 9.00	144.00
Additional Independent Claims (Over 3)	42.00	0
Late Payment of Filing Fee (Missing Parts fee)	\$ 65.00	65.00
Fee for Extension of Time (calculated separately)		
<b>Total Fees</b>		<b>\$594.00</b>

- ☒ No additional fee is required.
- ☒ A check in the amount of \$ 594.00 is enclosed. Check No. 6323
- ☒ If any additional extension and/or fee is required, charge Account No. 11-0979
- ☒ If any additional fee for claims is required, charge Account No. 11-0979

Date: August 3, 2004

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